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# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q92367

Paul A. CAIN, et al.

Appln. No.: 10/562,004

Group Art Unit: 2811

Confirmation No.: 1102

Examiner: UNKNOWN

Filed: December 23, 2005

For: RECTIFYING DIODES

# INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

#### MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, along with a copy of the corresponding Communication from a Foreign Patent Office, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/562,004

under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required. Applicant however

submits a Statement under 37 C.F.R. § 1.97(e).

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account.

Respectfully submitted,

Registration No. 25,426

Alan J. Kasper

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WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: November 30, 2006

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#### PATENT APPLICATION

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**RECTIFYING DIODES** 

# STATEMENT UNDER 37 C.F.R. § 1.97(e)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

Registration No. 25,426

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	Substitute for France	1440 A 8 D/DTO			Complete if Known		
	Substitute for Form	1449 A & B/P1U			Application Number	10/562,004	
/0		ORMATION	DISCLOS	TIDE	Confirmation Number	1102	
					Filing Date	December 23, 2005	
MOV	NOV 3 0 2006 STATEMENT BY APPLICANT (use as many sheets as necessary)				First Named Inventor	Paul A. CAIN	
					Art Unit	2811	
d.	[ <i>[F/</i> ]				Examiner Name	UNKNOWN	
(C)	Sheet	1	of	1	Attorney Docket Number	Q92367	
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U.S. PATENT DOCUMENTS						
Examiner	Cite No.1	Document No	ımber	Publication Date MM-DD-YYYY		
Initials*		Number	Kind Code <sup>2</sup> (if known)		Name of Patentee or Applicant of Cited Document	
		US 2003/0059987	Al	03-27-2003	SIRRINGHAUS HENNING ET AL	
		US				
		US				
		US				
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FOREIGN PATENT DOCUMENTS							
Examiner	er Cite	Foreign Patent Document			Publication Date	Name of Patentee or	
Initials*	No.1	Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation <sup>6</sup>
		wo	03/056641	A	07-10-2003	PLASTIC LOGIC LIMITED; CAMBRIDGE UNIVERSITY TECHNICAL SERVICES LIMITED	
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NON PATENT LITERATURE DOCUMENTS				
		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>	
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Examiner Signature	 Date Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.